

# Audit Vulnerability Scanning Policy

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## Purpose

The purpose of this agreement is to set forth our agreement regarding network security scanning offered by External Audit Company to USEK. External Audit Company shall utilize USEK's Software to perform electronic scans of client's networks and/or firewalls on any system at USEK.

Audits may be conducted to:

- Ensure integrity, confidentiality and availability of information and resources.
- Investigate possible security incidents ensure conformance to USEK security policies.
- Monitor user or system activity, where appropriate.

## Scope

This policy covers all computer and communication devices owned or operated by USEK. This policy also covers any computer and communications devices that are present on USEK premises, but which may not be owned or operated by USEK. The External Audit Company will not perform Denial of Service activities.

## Policy

When requested, and for the purpose of performing an audit, consent to access needed will be provided to members of External Audit Company. USEK hereby provides its consent to allow External Audit to access its networks and/or firewalls, to the extent necessary to allow [Audit organization] to perform the scans authorized in this agreement. USEK shall provide protocols, addressing information, and network connections sufficient for External Audit Company, to utilize the software to perform network scanning.

This access may include:

- User level and/or system level access to any computing or communications device.
- Access to information (electronic, hardcopy, etc.) that may be produced, transmitted or stored on USEK equipment or premises.
- Access to work areas (labs, offices, cubicles, storage areas, etc.).
- Access to interactively monitor and log traffic on USEK networks.

**a- Network Control.** If USEK does not control its network, and/or Internet service is provided via a second or third party, these parties are required to approve scanning in writing (if scanning is to occur outside of the USEK's LAN). By signing this agreement, all involved parties acknowledge that they authorize the External Audit Company to use their service networks as a gateway for the conducting of these tests, during the dates and times specified.

**b- Service Degradation and/or Interruption.** Network performance and/or availability may be affected by the network scanning. USEK releases External Audit Company of any and all liability for damages that may arise from network availability restrictions caused by the

network scanning, unless such damages are the result of External Audit Company's gross negligence or intentional misconduct.

- c- USEK's Point of Contact during the Scanning Period.** USEK shall identify in writing a person to be available if the result of the External Audit Company's Scanning Team has questions regarding data discovered, or requires assistance.
- d- Scanning period.** USEK and External Audit Company's Scanning Team shall identify, in writing, the allowable dates for the scan to take place.

## Enforcement

Any employee found to have violated this policy may be subject to disciplinary action, up to and including termination of employment.

## Revision History

Revision 2.0 December 26, 2011, Creation of the document.